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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/545,834	04/10/2000	Shuhei Harada	Q58793	3167

7590 04/29/2004
Sughrue Mion Zinn Macpeak & Seas
2100 Pennsylvania Avenue NW
Washington, DC 20037-3202

EXAMINER

TRAN, LY T

ART UNIT	PAPER NUMBER
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2853

DATE MAILED: 04/29/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No.	Applicant(s)	
	09/545,834	HARADA ET AL.	
	Examiner	Art Unit	
	Ly T TRAN	2853	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

1) ☒ Responsive to communication(s) filed on 17 February 2004.

2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.

3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

4) ☒ Claim(s) 1-10 and 72-89 is/are pending in the application.

4a) Of the above claim(s) 2,3,6-32,42-65 and 75-79 is/are withdrawn from consideration.

5) ☒ Claim(s) 1,4,5,33/5,31/1,34-41,66,69,70,72-74 is/are allowed.

6) ☒ Claim(s) 80,88 and 89 is/are rejected.

7) ☒ Claim(s) 81-87 is/are objected to.

8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

9) ☐ The specification is objected to by the Examiner.

10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

a) ☐ All b) ☐ Some * c) ☐ None of:

1. ☐ Certified copies of the priority documents have been received.

2. ☐ Certified copies of the priority documents have been received in Application No. _____.

3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date _____.	4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s)/Mail Date. _____. 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) 6) <input type="checkbox"/> Other: _____.
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ED ACTION

Election/Restrictions

1. Applicant's election without traverse of species in Paper No. 11 is acknowledged.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000. Therefore, the prior art date of the reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

2. Claims 80, 88 and 89 are rejected under 35 U.S.C. 102() as being anticipated by Iida (USPN 6,000,788)

Iida et al discloses:

- An ink jet recording head having nozzle orifices from which ink drops are ejected (Column 4: line 40-45);

- An ink storage unit for storing ink to be supplied to the recording head (fig.5: element 4);
- An ink flow passage communicating the ink storage unit and the recording head (Fig.5, see below);
- A valve unit for opening/closing the ink flow passage (Fig.5: element 21,20);
- a valve control chamber, a flexible diaphragm (element 3), which constitute a bottom wall of the valve control chamber;
- an actuation body (element 21) for deforming a center portion of the diaphragm (3) so as to close only the exit port (Fig.6);
- the actuation body closes the exit port when a negative pressure is applied to the valve control chamber (Fig.6A)

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claim 88 is rejected under 35 U.S.C. 103(a) as being unpatentable over Usui et al. (USPN 6,000,788) in view of Wu et al. (USPN 5,851,004)).

Usui fails to teach the actuation is a rod.

Wu teaches the actuation is a rod ((Fig.1: element 7,74,75).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to have an actuation is a rod as taught by Wu. The motivation of doing so is to deform the diaphragm.

Allowable Subject Matter

4. Claims 1, 4, 5, 33/5, 33/1, 34-41, 66, 69, 70 and 72-74 are allowed.
- The primary reason for the allowance of claims 1, 4, 33/1, 34-41 and 66-68 is the inclusion of the limitation of an ink jet recording apparatus and a method comprising the air valve always closes the air hole while the suction pump decompresses the internal space of the capping unit. It is limitation found in each claims, as it is claimed in the combination, that has not been found, taught, or suggested by the prior art of record which makes these claims allowable over the prior art.
 - The primary reason for the allowance of claims 5, and 33/5 is the inclusion of the limitation of an ink jet recording apparatus comprising the valve unit opens the ink flow passage after a first predetermined time period elapses since the starting of the decompressing by the suction pump. It is limitation found in each claims, as it is claimed in the combination, that has not been found, taught, or suggested by the prior art of record which makes these claims allowable over the prior art.

- The primary reason for the allowance of claims 69, 70 and 72 is the inclusion of a cleaning method for an ink jet recording head comprising the step of holding the decompressed state for a first predetermined time period and opening the ink flow passage by the valve unit after the first predetermined period has elapsed. It is limitation found in each claim, as it is claimed in the combination, that has not been found, taught, or suggested by the prior art of record which makes these claims allowable over the prior art.

5. Claims 81-87 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Claim 81-87 are allowable over prior art of record because at least prior art have not been found to anticipate or teach the combination of the entrance port is on a top wall of the valve control chamber at a portion away from the center of the diaphragm and the exit port is on the top wall at right above the center portion of the diaphragm.

Response to Arguments

6. Applicant's arguments with respect to claim 80 have been considered but are moot in view of the new ground(s) of rejection.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ly T TRAN whose telephone number is 571-272-2155. The examiner can normally be reached on M-F (7:30am-5pm).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stephen Meier can be reached on 571-272-2149. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Lt
April 21, 2004


Stephen D. Meier
Primary Examiner